

SECTION C: PUBLICLY TRADED ORGANIZATIONS

C.1 Securities and Exchange Commission Form 10K and Form 10-Q

C.1 Submit the most recent United States Securities and Exchange Commission (SEC) Form 10K Annual Report, and the most-recent 10-Q Quarterly report.

Provide a statement whether there have been any Securities Exchange Commission (SEC) investigations, civil or criminal, involving your organization in the last ten (10) years. If there have been any such investigations, provide an explanation with relevant details and outcome. If the outcome is against the Proposer, provide the corrective action plan implemented to prevent such future offenses. Also provide a statement of whether there are any current or pending Securities Exchange Commission investigations, civil or criminal, involving the Proposer, and, if such investigations are pending or in progress, provide an explanation providing relevant details and provide an opinion of counsel as to whether the pending investigation(s) will impair the Proposer's performance in a contract/Agreement under this RFP. Include your organization's parent organization, affiliates, and subsidiaries in this response.

Amerigroup Louisiana, Inc. (Amerigroup) is a wholly owned subsidiary of WellPoint, Inc. WellPoint is a publicly traded corporation on the New York Stock Exchange (NYSE) under the symbol WLP. A copy of WellPoint's most recent United States Securities and Exchange Commission (SEC) Form 10K Annual Report for the year ending 2013 and the most recent 10-Q Quarterly Report for the quarterly period ending June 30, 2014, are provided as Attachments B.1-2 and B.1-4 respectively.

Security Exchange Commission Investigations

Amerigroup (the Proposer) and its affiliates do not file reports with the SEC. WellPoint publicly discloses in its SEC filings SEC investigations, civil or criminal, that are deemed material to WellPoint as a whole. There have been no such disclosures in the last 10 years. ***There are no current or pending SEC investigations that will impair Amerigroup's performance in a Contract/Agreement under this RFP.***

C.2 Bond Ratings

C.2 Provide your organization’s bond rating for the current year and each of the past three years. Include your organization’s parent organization, affiliates, and subsidiaries in this response.

Amerigroup has not been the subject of any bond rating analysis, rating affirmations, write-up, or related reports. WellPoint, Inc., the parent company of Amerigroup, has been rated by Moody’s, FitchRatings, and Standard & Poor’s. Current ratings and ratings covering each of the past three years can be seen in Table C.2-1.

Table C.2-1. WellPoint, Inc. Bond Ratings

Rating Company	Current Rating	2013	2012	2011
WellPoint, Inc., Bond Ratings				
Moody’s	Baa2	Baa2	Baa2	Baa1
FitchRatings	BBB	BBB+	BBB+	A-
Standard & Poor’s	A-	A-	A-	A-